

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 029623/0129

In re patent application of

Sean MULLINS et al.

Group Art Unit: 2771

Serial No. 09/158,982

Examiner: Unassigned

Filed: September 23, 1998

Title: COMPUTER METHODS AND SYSTEMS FOR ANALYZING AND  
DISPLAYING INFORMATION RELATING TO GENE EXPRESSION

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants are filing concurrently herewith a Declaration and Small Entity form in response to a Notice to File Missing Parts received in the above-identified application. The Declaration reflects the correct order of the inventors.

Applicants request that the Official Filing Receipt be corrected to show the order of the inventors in the subject application to read in the following order:

First inventor: Brian R. BULLARD


Second inventor: Sean MULLINS

Applicants request a corrected official filing receipt showing this correction.

Copies of 1) the Official Filing Receipt with correction shown in red and 2) the declaration as filed concurrently herewith are enclosed. The \$25.00 fee is included in the attached check.

Respectfully submitted,

January 11, 1999

  
Aaron C. Chatterjee  
Reg. No. 41,398

FOLEY & LARDNER  
3000 K Street, N.W.  
Suite 500  
Washington, D.C. 20007-5109  
Tel: (202) 672-5300  
Fax: (202) 672-5399

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 029623/0129

In re patent application of

Sean MULLINS et al.

Attn: APPLICATION DIVISION

Serial No. 09 158,982

Group Art Unit: 2771

Filed: September 23, 1998

Examiner: Unassigned

Title: COMPUTER METHODS AND SYSTEMS FOR ANALYZING AND  
DISPLAYING INFORMATION RELATING TO GENE EXPRESSION

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

BOX MISSING PARTS

Sir:


Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed October 9, 1998, attached hereto are the following to complete the formal filing requirements in the above-identified application:

- X   Declaration and Power of Attorney signed by each Inventor.
- X   Small Entity Declaration signed by or on behalf of each individual, concern or organization having rights in the subject invention.
- X   A copy of the Notice to File Missing Parts of Application (Form PTO-1533) mailed.
- X   Petition for a one month extension of time (one month extension of time fee of \$55.00 is included in attached check).
- X   Assignment to GENE LOGIC, INC. with transmittal letter (recordation fee of \$40.00 included in attached check) and check covering recordation fee.
- X   Request for corrected Official Filing Receipt w/attachments

X   Check in the amount of \$613.00 in payment of the \$395.00 official Government filing fee, a \$33.00 extra claims fee; the \$65.00 surcharge, a \$55.00 extension of time fee for one month, the \$40.00 Assignment recordation fee and a \$25.00 fee for correcting the Filing Receipt.

Respectfully submitted.

January 11, 1999 (Monday)

  
\_\_\_\_\_  
Aaron C. Chatterjee  
Reg. No. 41,398

FOLEY & LARDNER  
3000 K Street, N.W.  
Suite 500  
Washington, D.C. 20007-5109  
Tel: (202) 672-5300  
Fax: (202)672-5399

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/158,982	09/23/98	2771	\$0.00	029623/0129	0	23	1

FOLEY & LARDNER  
3000 K STREET NW  
SUITE 500  
WASHINGTON DC 20007-5109

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

SEAN MULLINS, ELLICOTT CITY, MD; BRIAN R. BULLARD,  
SYKESVILLE, MD.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
PROVISIONAL APPLICATION NO. 60/059,727 09/23/97

FOREIGN FILING LICENSE GRANTED 10/06/98

TITLE

COMPUTER METHODS AND SYSTEMS FOR ANALYZING AND DISPLAYING INFORMATION  
RELATING TO GENE EXPRESSION

PRELIMINARY CLASS: 707



APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9: OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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19/1999 MMAR1999  
FC:205  
FC:201  
FC:203